PROMONTORY
FINANCIAL PLANNING

Privacy Policy Notice

Our Promise to our valued Clients. As a Client of PFP, you share both personal and financial information with us. Your privacy is important to us, and we are dedicated to safeguarding your personal and financial information.

Information Provided by Clients: In the normal course of business, we collect nonpublic personal information about you, including:

- Identifying information such as your name, address, date of birth, and Social Security number
- Financial information such as income, assets, liabilities, net worth, and account balances
- Information regarding securities transactions and account activity
- Information received from custodians, broker-dealers, and other financial institutions in connection with servicing your accounts

How We Manage and Protect Your Personal Information: We do not sell nonpublic personal information about current or former clients to anyone. We may disclose nonpublic personal information to non-affiliated third parties as permitted by law, including:

- Custodians and broker-dealers
- Portfolio accounting and performance reporting providers
- Client relationship management (CRM) systems
- Compliance and technology service providers
- Accountants, attorneys, and other professionals at your direction
- Other financial institutions as necessary to service your accounts

We may also disclose information as required by law, regulation, or legal process. All service providers are required by contract to maintain the confidentiality and security of your information.

Access to client information is restricted to employees and service providers who need the information to perform their job responsibilities. We maintain written policies and procedures designed to protect client information and to address cybersecurity risks. In the event of a data security incident, we will respond in accordance with applicable laws and regulations.

Text Messaging Privacy: We use your mobile number to communicate with you about appointments, paperwork, your accounts, and other client service matters. We do not sell or share mobile information with third parties or affiliates for marketing or promotional purposes. We may share information with service providers solely to support these communications. Text messaging opt-in data and consent are not shared with third parties for their own purposes.

Client Notifications: We will provide you with a copy of this Privacy Policy at the time you establish a client relationship with us and will provide a revised notice if our privacy practices materially change. You may request a copy of our current Privacy Policy at any time by contacting us.